#### **Applied Deep Learning**

#### Chapter 4: Convolutional Neural Networks

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#### **Computer Vision**

Computer vision has been a fundamental problem in machine learning

The aim is to design a machine that can recognize patterns in visual contents

#### Long study on biological vision systems has been conducted

- Hubel and Wiesel studied visual cortex in late 1950s
- Inspired by that study Fukushima introduced Neocognitron in 1979
  - It was a convolutional NN for unsupervised learning
- Yann LeCun proposed LeNet for supervised learning in 1989

#### Convolutional NNs: CNNs

Let's make an agreement: though we all know CNN News Channel we also say

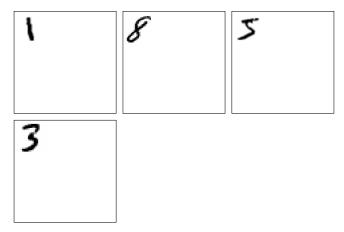
Convolutional  $NN \equiv CNN$ 

#### CNN

CNN is an FNN which in addition to standard fully-connected layers uses convolutional and pooling layers for feature extraction

- + But, what is a convolutional layer? What is a pooling layer? What do you mean by feature extraction?
- Well! We get there soon! But first let's see what the main motivation is

We intend to train an NN that classifies MNIST dataset with one difference to our earlier classification problem: we assume that MNIST images are now included in a larger white background



- + Can't we simply use standard fully-connected FNN?
- Sure! We can

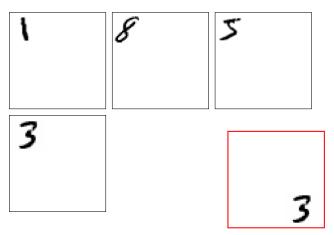


Say the photos with background are 3 times larger in height and width

- Images are now  $84 \times 84 \equiv$  we have 7056 pixels
- We should have input layer with 7056 pixels and train the NN with MNIST

To do the training, we should first convert our MNIST images into new larger-size images

We do this by zero-padding: all images after conversion lie on top left corner

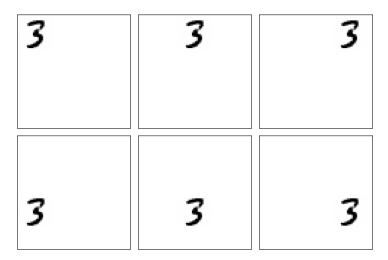


Do you think after training, NN classifies "3" in lower right corner correctly? No!

- Why does this happen?
- Our NN is trained to only look at top left corner, it will miss information anywhere else including in lower right corner
- + Can we do anything about it?
- Yes! We learned it in the last chapter: Data Augmentation

We shift MNIST images in the large background left and right, up and down and add all those shifts with same label to the dataset

# Motivational Example: Recognition with Augmented Data



We should get too many of them!

### Using a Trained FNN

- + But it sounds like too much work and computation!
- Yes! It is! and frankly speaking it is not worth it!

Many scientist noted that our brain doesn't work like that

once we learn "3" we can recognize it anywhere in our vision!

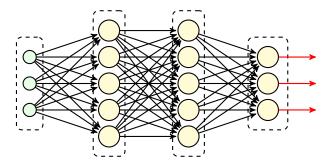
#### We may initially note that

- ① Our brain doesn't process the visual field as the whole
- 2 It searches for patterns in smaller fields within our vision
  - ↓ It constructs a pattern for "3" through training
  - □ After training, it scans any visual field to see if it finds that pattern

Let's try realizing it with a NN!

#### Using a Trained FNN

Let's assume we have trained the following FNN on MNIST

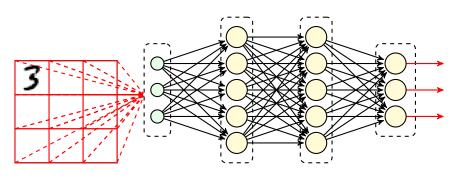


- It gets a 784-pixel image as input
- It passes it through three fully-connected layers
- It returns the class of the image
  - If it doesn't find a class it returns ∅

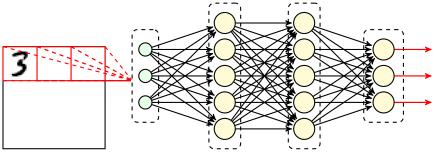
### Scanning via MNIST Trained FNN

We can mimic what our brain does

we scan the larger image with background



### Scanning via MNIST Trained FNN



We go through windows for size  $28 \times 28$ 

- 1 At each window, we give it 784 pixels to the FNN to classify
  - If we find a class: we save the class and return True
  - If we don't find a class: we return False
- 2 We compute OR of outputs for all windows
  - If True: we return the saved class.
  - ↓ If False: we return Ø

# **CNNs: Scanning via Shared Weights**

#### The above example is a simple CNN

- ullet This CNN extracts features from the image using a 28 imes 28 filter
  - the filter's weights are those given by the first layer of trained FNN
  - $\downarrow$  the features are affine values calculated in first layer of trained FNN
- The scanning procedure has a specific name: convolution
  - it goes through the image by sliding over it via a smaller window
  - it determines an affine transform of smaller subsets of pixels
- We can look at it as a giant FNN with shared weights
  - ⇒ each pixel is connected to the next layer via affine transform

  - → not every feature depends on every pixels
  - the first layer is not fully-connected: it's locally-connected

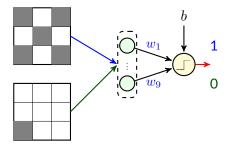
Let's make our understanding deeper by making our first CNN!

### Recognizing X

In Assignment 1, we trained a perceptron with 9 inputs

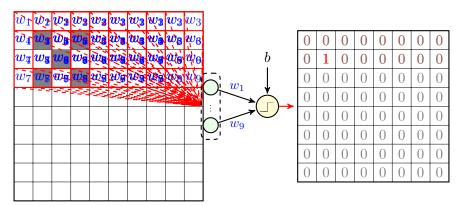
it gets a  $3 \times 3$  image and says whether it is "X" or not

Assume we have weights and bias: we want to recognize "X" in a larger image



# Recognizing X

We follow our scanning idea to recognize  $3 \times 3$  "X" in a  $10 \times 10$  image: we put the weights on a  $3 \times 3$  filter and slide it over the image



We slide the filter with stride 1 and save the outputs of perceptron on a map

# Recognizing X

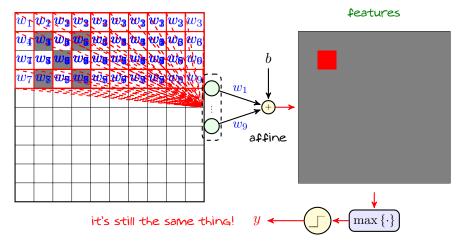
It's enough to have only a single 1 to recognize "X"

0	0	0	0	0	0	0	0
0	1	0	0	0	0	0	0
0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0

We therefore return the OR of all entries in the above map

# Recognizing X: Convolution

We really don't need to determine the activation after each scan: we could only save the affine transforms in the map



#### Convolution with Stride 1

image

$w_1$	$w_{2}$	$w_{3\hspace{1em}3}$	$w_{3\hspace{1em}3}$	$w_{3\hspace{1em}3}$	$w_{3\hspace{1em}3}$	$w_{3\hspace{1em}3}$	$w_{ m 3}$	$w_{f 3}$	$w_3$
$w_4$	$w_{3}$	$w_{\mathtt{B}}$	$w_{\mathtt{B}}$	$w_{f 8}$	$w_{8}$	$w_{8}$	$w_{\mathtt{B}}$	$w_{B}$	$w_{B}$
$w_{4}$	$w_{f g}$	$w_{f i}$	$w_{g}$	$w_{g}$	$w_{g}$	$w_{g}$	$w_{g}$	$w_{m{ heta}}$	$w_{ heta}$
$w_7$	$w_{8}$	$w_{\$}$	$w_{ m g}$	$w_{\$}$	$w_{\$}$	$w_{\$}$	$w_{\$}$	$w_{8}$	$w_9$

#### filter

$$w_1 | w_2 | w_3 \\ w_4 | w_5 | w_6 \\ w_7 | w_8 | w_9$$

#### feature map

$z_1$	١,1	$z_{2,1}$	$z_{3,1}$	$z_{4,1}$	$z_{5,1}$	$z_{6,1}$	$z_{7,1}$	$z_{8,1}$
$z_1$	1,2	$z_{2,2}$	$z_{3,2}$	$z_{4,2}$	$z_{5,2}$	$z_{6,2}$	$z_{7,2}$	$z_{8,2}$
$z_1$	1,3	$z_{2,3}$	$z_{3,3}$	$z_{4,3}$	$z_{5,3}$	$z_{6,3}$	$z_{7,3}$	$z_{8,3}$
$z_1$	1,4	$z_{2,4}$	z <sub>3,4</sub>	$z_{4,4}$	$z_{5,4}$	$z_{6,4}$	$z_{7,4}$	z <sub>8,4</sub>
$z_1$	1,5	$z_{2,5}$	$z_{3,5}$	$z_{4,5}$	$z_{5,5}$	$z_{6,5}$	$z_{7,5}$	$z_{8,5}$
$z_1$	1,6	$z_{2,6}$	$z_{3,6}$	$z_{4,6}$	$z_{5,6}$	$z_{6,6}$	$z_{7,6}$	$z_{8,6}$
$z_1$	1,7	$z_{2,7}$	$z_{3,7}$	$z_{4,7}$	$z_{5,7}$	$z_{6,7}$	$z_{7,7}$	$z_{8,7}$
$z_1$	1,8	$z_{2,8}$	$z_{3,8}$	$z_{4,8}$	$z_{5,8}$	$z_{6,8}$	$z_{7,8}$	$z_{8,8}$

The above operation is convolution and we show it as below

feature map = Conv (image | filter, stride = 1)

#### Convolution with Stride 2

image

$w_1$	$w_2$	$w_{3}$	$w_2$	$w_{3\hspace{1em}3}$	$w_2$	$w_{3\hspace{1em}3}$	$w_2$	$w_3$	
$w_4$	$\overline{w_5}$	$w_{6}$	$w_5$	$w_{6}$	$w_5$	$w_{6}$	$w_5$	$w_6$	
$w_{\mathtt{T}}$	$w_{\mathtt{8}}$	$w_{\mathtt{g}}$	$w_{f 8}$	$w_{f g}$	$w_{f 2}$	$w_{f g}$	$w_{8}$	$w_{9}$	
$w_4$	$w_5$	$w_{6}$	$w_5$	$w_{6}$	$w_5$	$w_{6}$	$w_5$	$w_6$	
$w_7$	$w_8$	$w_{\mathfrak{F}}$	$w_8$	$w_{f g}$	$w_8$	$w_{\mathfrak{F}}$	$w_8$	$w_9$	

filter

$$egin{array}{c|c} w_1 & w_2 & w_3 \\ w_4 & w_5 & w_6 \\ w_7 & w_8 & w_9 \\ \hline \end{array}$$

feature map

	$z_{2,1}$		
	$z_{2,2}$		
	$z_{2,3}$		
$z_{1,4}$	$z_{2,4}$	$z_{3,4}$	$z_{4,4}$

We could also play with the stride  $\equiv$  the step-size by which we move filter

feature map = Conv (image | filter, stride = 2)

#### Convolution with Stride S

Let's formulate the convolution for a general filter: assume  $\mathbf{W} \in \mathbb{R}^{F \times F}$  be a filter, we also call it kernel. Let  $\mathbf{X} \in \mathbb{R}^{N \times N}$  be pixel matrix of the image. We want to find the output feature map, i.e.,

$$\mathbf{Z} = \operatorname{Conv}\left(\mathbf{X}|\mathbf{W}, \operatorname{stride} = S\right)$$

It's enough to find the corresponding sub-matrix for each entry of  ${f Z}$ 

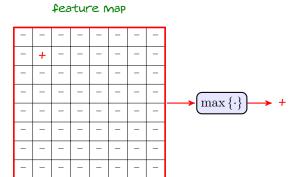
$$\mathbf{Z}[i,j] = \operatorname{sum}(\mathbf{W} \odot \mathbf{X}_{i,j})$$

where  $\mathbf{X}_{i,j}$  is the corresponding  $F \times F$  sub-matrix, i.e.,

$$\mathbf{X}_{i,j} = \mathbf{X} [1 + (i-1)S : \mathbf{F} + (i-1)S, 1 + (j-1)S : \mathbf{F} + (j-1)S]$$

# Recognizing X: Pooling

The next operation we did is called pooling



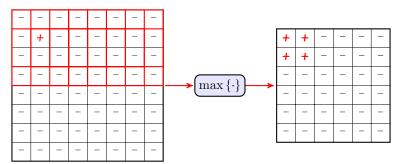
pooling filter

This is however not conventional to have a pooling filter of different size

# Pooling: Max Pooling with Stride 1

The convention is to use the same filter size as used in convolution layer



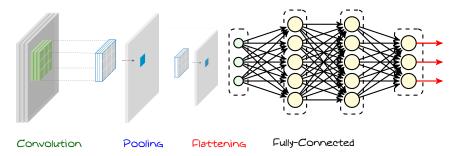


We can now give the feature map after pooling to a fully-connected FNN: this is a feature vector of reduced size!

We can repeat convolution and pooling over and over

# **CNN: Simple Architecture**

#### Our simple CNN looks like this

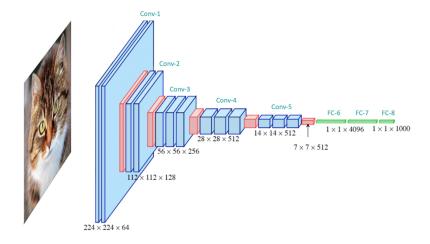


This is a general architecture for CNNs, but of course we go deeper!

- We have more convolutional and pooling layers
- We do high-dimensional convolutions and more advanced poolings

#### **CNN: Realistic Architectures**

#### For instance the famous VGG-16 architecture looks like below



### **CNN:** Connections to Biological Vision

Though it's artificially developed as a computation model: it is related to the initial model developed for description of biological vision

